

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2	Application Serial NoFiling DateConfirmation No.	Aug 09, 2000
3	Inventorship	
4	ApplicantMics	
	Group Art Unit	
5	ExaminerSI	
	Attorney's Docket No Title: Fast Dynamic Measurement of Connection Bandwidth	
6	Title. Fast Dynamic Measurement of Connection Bandwidth	RECEIVED
7	INFORMATION DISCLOSURE STATEMENT	MAY 2 1 2004
8	References - See Attached Form PTO-1449	Technology Center 2100
9		
	To: Commissioner for Patents	
10	PO Box 1450	
11	Alexandria, VA 22313-1450	
	T	
12	From: Kasey C. Christie (Tel. 509-324-9256; Fax 509-323-8979)	
13	Lee & Hayes, PLLC 421 W. Riverside Avenue, Suite 500	
13	Spokane, WA 99201	
14	•	
15	The attached form PTO-1449 is submitted in compliance with A	pplicant's duty of
16		•
	disclosure under 37 CFR §1.56. The Examiner is requested to make	these citations of
17	official record in this application.	
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	The Commissioner is hereby authorized to charge payment	of fees or credit
19	existence and the Democit Account No. 12 0760 as not found in 27 CFD 6:	1.17()
	overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §	1.1/ (p).
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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С	mplete if Known
Application Number	09/636,004
Filing Date	Aug 09, 2000
First Named Inventor	del Val RECEIVED
Examiner Name	SHAW, JOSEPH D
Art Unit	2141 MAY 2 1 2004
Attorney Docket No.	MS1-542US

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (Continued) 99 Center 210					
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✓ Deposit /	Account:	Older			Entity				
Deposit		12-0769		Fee Code	Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Account Number		12-0769		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	Le	ee & Hayes, PLLC		1052	50	2052		Surcharge - late provisional filing fee or cover sheet	
Name	outhorized t	o: (check all that apply)		1053	130	1053	130	Non-English specification	
	(s) indicated b		overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	` '	(s) or any underpayment o	` '	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
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1001 770	2001 385	Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002 170	Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	<u> </u>
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
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Code (\$)	Code (\$)		,	8021	40	802	40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86		9 Claims in excess of 203 Independent claims in		1809	770	2809		Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 14			1810	770	2810	385	For each additional invention to be	
1204 86	2204 4	3 ** Reissue independer over original patent	nt claims	1801	770	2801	325	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
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SUBMITTED BY (Complete (if applicable))

Name (Print/Type) Kasey C. Christie Registration No. (509) 324-9256

Signature Date S- (3-01)

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/636.004 TRANSMITTAL Filing Date Aug 09, 2000 MAY 2 1 200 **FORM First Named Inventor** del Val Technology Center 2100 Group Art Unit (to be used for all correspondence after initial filing) 2141 SHAW, JOSEPH D **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number MS1-542US **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached **Sheets** Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Endosure(s) (please Extension of Time Request identify below): PTO-1449; reference copies (37); return Terminal Disclaimer **Express Abandonment Request** receipt postcard Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. 40,559 Kasey C. Christie Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being eleposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Copmissioner of Palents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Julie Øe**jlie**n Typed or printed name Date Signature

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 4

Complete if Known				
Application Number	09/636,004			
Filing Date	Aug 09, 2000			
First Named Inventor	del Val			
Group Art Unit	2141			
Examiner Name	SHAW, JOSEPH D			
Attorney Docket Number	MS1-542US			

			U.S. PATENT	T DOCUMENTS]
Examiner Initials*	Cite No.1	U.S. Patent Docum Kind C Number (if knd	Name of Patentee or App of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2002/0048448	Daniels	04-25-2002	
		6,327,421	Tiwari et al.	12-04-2001	
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		6,292,834	Ravi et al.	09-18-2001	

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of

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Application Number	09/636,004			
Filing Date	Aug 09, 2000			
First Named Inventor	del Val			
Group Art Unit	2141			
Examiner Name	SHAW, JOSEPH D			
Attorney Docket Number	MS1-542US			

				U.S. PATENT DOCU	JMENTS			
Examiner nitials	xaminer Cite Number Ki		U.S. Patent Document Number Kind Code ² (If known) Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		6,041,345		Levi et al.	03-21-2000			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 4

Co	empl te if Known	
Application Number	09/636,004	
Filing Date	Aug 09, 2000	
First Named Inventor	del Val	ヒレ
Group Art Unit	2141	
Examiner Name	SHAW, JOSEPH D MAY 2 1 2	004
Attorney Docket Number	MS1-542US	

Technology Center 2100

	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	
Cite No. ¹	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	PAKNIKAR, Shantanu, et al. "A Caching and Streaming Framework for Multimedia," ACM Multimedia 2000, Los Angeles, CA; pp. 13-20.	
	GUO, Katherine, et al. "RCache: Design and Analysis of Scalable, Fault Tolerant Multimedia Stream Caching Schemes," Scalability and Traffic Control in IP Networks, Proceedings of SPIE Vol. 4526, (2001); pp. 68-86.	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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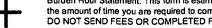
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Complete if Known **Application Number** 09/636,004 Filing Date Aug 09, 2000 del Val **First Named Inventor** 2141 Group Art Unit SHAW, JOSEPH D Examiner Name

Sheet	4		of	4		Attorney Docket Number	MS1-542US	
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Examiner Initials	Cite No.1				e, journal, serial, syn	L LETTERS), title of the article (w nposium, catalog, etc.), date, page y and/or country where published	e(s), volume-issue number(s),	
	JIN, S., et al. "Accelerating Internet Streaming Media Delivery using Network-Aware Partial Caching," 2002 IEEE, pp. 153-160.							
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